



SUN-P5833NP.US

Patent#8  
2/13/04  
A.W.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

FEB 04 2004

In re Application of

Boreham, et al.

Serial No. 09/867,508

Filing Date: May 29, 2001

For: ENUMERATED ROLES IN A  
DIRECTORY SYSTEM

Examiner: Lu, Kuen S. OFFICE OF PETITIONS

Art Unit: 2177

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FEB 10 2004

Technology Center 2100

Hon. Assistant Commissioner for Patents  
Washington, D.C. 20231DECLARATION OF APPLICANTS INVENTION IN THE UNITED STATES TO  
OVERCOME CITED REFERENCE (37 C.F.R. § 1.132)

Dear Sir:

We, David Boreham, Peter Rowley, and Mark C. Smith, pursuant to 37 C.F.R.

1.132, declare:

1. We are the inventors named in the above-referenced application.
2. We were employees of Netscape Communications Corporation, Inc., assignee in interest for the subject matter of the present application referred to above. On information and belief, SUN Microsystems, Inc., became assignee in interest for the subject matter of the present invention

Serial No. 09/867,508  
Examiner: Lu, Kuen S.

- 1 -

Art Unit 2177  
SUN-P5833NP.US

after Netscape Communications Corporation assigned the interest for the subject matter of the present invention to SUN Microsystems, Inc.

3. The declaration made hereof is to establish that the publication iPlanet Directory Server Administrator's Guide Version 5.0 (referred to hereinafter as "iPlanet"), which was recently cited by the US Patent Examiner in prosecution of the above-mentioned application, is our own invention. We conceived and invented the subject matter disclosed in the above iPlanet reference.
4. Each person signing below hereby declares that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section of Title 18 of the United States Code, and such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Executed at \_\_\_\_\_, \_\_\_\_\_ on the date set forth below.  
(city) (state)

\_\_\_\_\_  
David Boreham

Dated: \_\_\_\_\_

Executed at \_\_\_\_\_, \_\_\_\_\_ on the date set forth below.

(city)

(state)

Peter Rowley

Dated: \_\_\_\_\_

Executed at Saline, MI on the date set forth below.  
(city) (state)

Mark C Smith  
Mark C. Smith

Dated: 14-Jan-2004



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Patent

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became assignee in interest for the subject matter of the present invention

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Executed at Livingston, MT on the date set forth below.  
(city) (state)

David Boreham  
David Boreham

Dated: January 16, 2004

Executed at \_\_\_\_\_ on the date set forth below.

Serial No. 09/867,508  
Examiner: LII. KUAN S.

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Art Unit 2177  
SUN-P5833NP.UIS

(city)

(state)

\_\_\_\_\_  
Peter Rowley

Dated: \_\_\_\_\_

Executed at \_\_\_\_\_, \_\_\_\_\_ on the date set forth below.

(city)

(state)

\_\_\_\_\_  
Mark C. Smith

Dated: \_\_\_\_\_

Serial No. 09/867,508  
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Hon. Assistant Commissioner for Patents  
Washington, D.C. 20231

STATEMENT OF FACTS IN SUPPORT OF FILING ON BEHALF OF AN  
UNAVAILABLE INVENTOR (37 C.F.R. § 1.47)

Dear Sir:

I, Cheryl A. Eichstaedt, pursuant to 37 C.F.R. 1.131, declare:

1. I called inventor Peter Rowley at 650 937-3251 and left him a phone message on November 18, 2003 but he did not return my phone call.
2. I emailed inventor Peter Rowley on December 15, 2003 but he did not respond. Exhibit A is offered as evidence.
3. I attempted to reach inventor Peter Rowley at his last know address. Exhibit B is offered as evidence.

Each person signing below hereby declares that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Executed at San Jose, CA on the date set forth below.  
(city) (state)

Cheryl A. Eichstaedt Jan 27, 2004  
Cheryl A. Eichstaedt